

# **HEALTH QUARTERLY STATEMENT**

**AS OF MARCH 31, 2002** 

OF THE CONDITION AND AFFAIRS OF THE

Upper Peninsula Health Plan, Inc. NAIC Company Code \_\_\_\_\_52615 \_\_\_\_ Employer's ID Number \_\_\_ 0000 38-3379956 NAIC Group Code Michigan , State of Domicile or Port of Entry Organized under the Laws of Michigan United States of America Country of Domicile Licensed as business type: Life, Accident & Health [ ] Property/Casualty [ ] Dental Service Corporation [ ] Vision Service Corporation [ ] Other [ ] Health Maintenance Organization [ X ] Hospital, Medical & Dental Service or Indemnity [ ] Is HMO, Federally Qualified? Yes [ ] No [ X ] 10/14/1997 Commenced Business 08/01/1998 Incorporated 228 West Washington St. Marquette, MI 49855 Statutory Home Office Main Administrative Office 228 West Washington St Marquette,MI 49855 (906) 225-7500 Town, State and Zip Code 228 West Washington St. Marquette, MI 49855 Mail Address (Street and Number or P.O. Box) Primary Location of Books and Records 228 West Washington St. (Street and Number) Marquette, MI 49855 (906) 225-7500 (City or Town, State and Zip Code) (Area Code) (Telephone Number) Internet Website Address www.uphp.com Brian P. Poshak (906) 225-7500 Statement Contact (Name) (Area Code) (Telephone Number) (Extension) (906) 225-7690 bpposhak@uphp.com (E-mail Address) 228 W. Washington St. Policyowner Relations Contact (Street and Number) (906)-225-7500 Marquette,MI 49855 (City or Town, State and Zip Code) (Area Code) (Telephone Number) **OFFICERS** Mr. William J.Streur President Secretary John Weiss, MD Mr. John Schon Treasurer VICE PRESIDENTS **DIRECTORS OR TRUSTEES** ish Chawla MD Catherine Kroll DO Mark Callaghan MD William Phillips, DO Jerry Luoma MD Mr. William Nemacheck Mr. Dan Wakeham John Schomer Her Geissler Mr. David Jahn Mr. James Bogan Ms. Staci Fortin John Weiss, MD Mr.Robert Vairo Ms.Melissa Mazurek .Michigan State of County of ... Marguette The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Mr. William J. Streur John Weiss, MD Greg Gustafson President CFO Secretary Subscribed and sworn to before me this a. Is this an original filing? Yes [X] No [] \_day of \_\_\_ May, 2002 1.State the amendment number

# **ASSETS**

|       |  | Current Period |                            |                             | Prior Year                  |  |  |
|-------|--|----------------|----------------------------|-----------------------------|-----------------------------|--|--|
|       |  | 1<br>Assets    | 2<br>Nonadmitted<br>Assets | 3<br>Net Admitted<br>Assets | 4<br>Net Admitted<br>Assets |  |  |
| 1.    | Bonds  | 515 , 224      |                            | 515,224                     | 515,224                     |  |  |
| 2.    | Stocks:  |                |                            |                             |                             |  |  |
|       | 2.1 Preferred stocks   |                |                            |                             |                             |  |  |
|       | 2.2 Common stocks  |                |                            |                             |                             |  |  |
| 3.    | Mortgage loans on real estate:   |                |                            |                             |                             |  |  |
|       | 3.1 First liens  |                |                            |                             |                             |  |  |
|       | 3.2 Other than first liens   |                |                            |                             |                             |  |  |
| 4.    | Real estate (Schedule A):  |                |                            |                             |                             |  |  |
|       | 4.1 Properties occupied by the company (less                               |                |                            |                             |                             |  |  |
|       | \$encumbrances)  |                |                            | (a)                         |                             |  |  |
|       | 4.2 Properties held for the production of income                           |                |                            |                             |                             |  |  |
|       | (less \$ encumbrances)   |                |                            |                             |                             |  |  |
|       | 4.3 Properties held for sale (less   |                |                            |                             |                             |  |  |
|       | \$ encumbrances)   |                |                            |                             |                             |  |  |
| 5.    | Cash (\$5, 413,775 ) and short-term investments                            |                |                            |                             |                             |  |  |
|       | (\$486 , 307 )   | 5 , 900 , 082  |                            | 5 , 900 , 082               | 4 , 927 , 242               |  |  |
| 6.    | Other long-term invested assets  |                |                            |                             |                             |  |  |
| 7.    | Receivable for securities  |                |                            |                             |                             |  |  |
| 8.    | Aggregate write-ins for invested assets                                    |                |                            |                             |                             |  |  |
| 9.    | Subtotals, cash and invested assets (Lines 1 to 8)                         | 6 , 415 , 306  |                            | 6 , 415 , 306               | 5 , 442 , 466               |  |  |
| 10.   | Accident and health premiums due and unpaid                                | 839 , 046      |                            | 839,046                     | 921,291                     |  |  |
| 11.   | Health care receivables  | 1 ,689 ,763    |                            | 1,689,763                   | 1 ,784 ,837                 |  |  |
| 12.   | Amounts recoverable from reinsurers  |                |                            |                             |                             |  |  |
| 13.   | Net adjustment in assets and liabilities due to foreign exchange rates     |                |                            |                             |                             |  |  |
| 14.   | Investment income due and accrued  | 6 , 785        |                            | 6 , 785                     | 8,701                       |  |  |
| 15.   | Amounts due from parent, subsidiaries and affiliates                       |                |                            |                             |                             |  |  |
| 16.   | Amounts receivable relating to uninsured accident and health plans         |                |                            |                             |                             |  |  |
| 17.   | Furniture and equipment  | 42 , 675       |                            | 42,675                      | 42,091                      |  |  |
| 18.   | Amounts due from agents  |                |                            |                             |                             |  |  |
| 19.   | Federal and foreign income tax recoverable and interest thereon (including |                |                            |                             |                             |  |  |
|       | \$net deferred tax asset)  |                |                            |                             |                             |  |  |
| 20.   | Electronic data processing equipment and software                          | 63 , 975       | 6 , 445                    | 57 , 530                    | 50 , 249                    |  |  |
| 21.   | Other nonadmitted assets   |                |                            |                             |                             |  |  |
| 22.   | Aggregate write-ins for other than invested assets                         |                |                            |                             |                             |  |  |
| 23.   | Total assets (Lines 9 plus 10 through 22)                                  | 9 ,057 ,550    | 6 , 445                    | 9,051,105                   | 8,249,635                   |  |  |
|       | DETAILS OF WRITE-INS   |                |                            |                             |                             |  |  |
| 0801. |  |                |                            |                             |                             |  |  |
| 0802. |  |                |                            |                             |                             |  |  |
| 0803. |  |                |                            |                             |                             |  |  |
| 0898. | Summary of remaining write-ins for Line 8 from overflow page               |                |                            |                             |                             |  |  |
| 0899. | Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above)                     |                |                            |                             |                             |  |  |
| 2201. |  |                |                            | <del> </del>                |                             |  |  |
| 2202. |  |                |                            | <del> </del>                |                             |  |  |
| 2203. |  |                |                            | <del> </del>                |                             |  |  |
| 2298. | Summary of remaining write-ins for Line 22 from overflow page              |                |                            | <del> </del>                |                             |  |  |
| 2299. | Totals (Lines 2201 thru 2203 plus 2298) (Line 22 above)                    |                |                            |                             |                             |  |  |

(a) \$ ......health care delivery assets included in Line 4.1, Column 3

LIABILITIES, CAPITAL AND SURPLUS

|       | ,   | ,             | Current Period |               | Prior Year    |
|-------|---|---------------|----------------|---------------|---------------|
|       |   | 1<br>Covered  | 2<br>Uncovered | 3<br>Total    | 4<br>Total    |
| 1.    | Claims unpaid (less \$ reinsurance ceded)                               | 6 , 166 , 943 |                | 6 , 166 , 943 | 5 ,936 ,791   |
| 2.    | Accrued medical incentive pool and bonus payments                       | 114,264       |                | 114,264       | 91 , 231      |
| 3.    | Unpaid claims adjustment expenses                                       |               |                |               |               |
| 4.    | Aggregate policy reserves   |               |                |               |               |
| 5.    | Aggregate claim reserves  |               |                |               |               |
| 6.    | Premiums received in advance  |               |                |               |               |
| 7.    | General expenses due or accrued   | 286,963       |                | 286,963       | 144,782       |
| 8.    | Federal and foreign income tax payable and interest thereon (including  |               |                |               |               |
|       | \$ on realized capital gains (losses) (including                        |               |                |               |               |
|       | \$ net deferred tax liability)  |               |                |               |               |
| 9.    | Amounts withheld or retained for the account of others                  |               |                |               |               |
| 10.   | Borrowed money (including \$ current) and                               |               |                |               |               |
|       | interest thereon\$ (including   |               |                |               |               |
|       | \$current)  |               |                |               |               |
| 11.   | Amounts due to parent, subsidiaries and affiliates                      |               |                |               |               |
| 12.   |   |               |                |               |               |
|       | Funds held under reinsurance treaties with (\$                          |               |                |               |               |
|       | authorized reinsurers and \$unauthorized                                |               |                |               |               |
|       | reinsurers)   |               |                |               |               |
| 14.   | Reinsurance in unauthorized companies                                   |               |                |               |               |
| 15.   | Net adjustments in assets and liabilities due to foreign exchange rates |               |                |               |               |
| 16.   | Liability for amounts held under uninsured accident and health plans    |               |                |               |               |
|       | Aggregate write-ins for other liabilities (including \$                 |               |                |               |               |
| 17.   | current)  |               |                |               |               |
| 18    | Total liabilities (Lines 1 to 17)                                       |               |                | 6 580 1/0     | 6 , 172 , 804 |
|       | Common capital stock  |               |                |               | , ,           |
| 20    | Preferred capital stock   |               |                |               | 1,035,370     |
|       | Gross paid in and contributed surplus                                   |               |                |               |               |
| 22.   | Surplus notes   |               |                |               |               |
|       | Aggregate write-ins for other than special surplus funds                |               |                |               |               |
| 23.   | Unassigned funds (surplus)  |               |                |               | 217 , 261     |
| 24.   |   |               |                |               | 217 , 201     |
| 25.   | Less treasury stock, at cost:   |               |                |               |               |
|       | 25.1shares common (value included in Line 19)                           | 2007          | 2007           |               |               |
|       | \$  | XXX           | XXX            |               |               |
|       | 25.2shares preferred (value included in Line 20)                        | 2007          | 2007           |               |               |
|       | \$  |               |                | 0.404.050     | 0.070.004     |
|       | Total capital and surplus (Lines 19 to 25)                              |               |                |               | 2,076,831     |
| 21.   | Total liabilities, capital and surplus (Lines 18 and 26)                | XXX           | XXX            | 9,051,105     | 8,249,635     |
|       | DETAILS OF WRITE-INS  |               |                |               |               |
|       |   |               |                |               |               |
|       |   |               |                |               |               |
| 1703. |   |               |                |               |               |
|       | Summary of remaining write-ins for Line 17 from overflow page           |               |                |               |               |
|       | Totals (Lines 1701 thru 1703 plus 1798) (Line 17 above)                 |               |                |               |               |
|       |   |               |                |               |               |
| 2302. |   |               |                |               |               |
| 2303. |   |               |                |               |               |
| 2398. | Summary of remaining write-ins for Line 23 from overflow page           | XXX           | XXX            |               |               |
| 2399. | Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above)                 | XXX           | XXX            |               |               |

# STATEMENT OF REVENUE AND EXPENSES

|       | Current Y   |           | to Data       | Prior Year     |  |
|-------|---|-----------|---------------|----------------|--|
|       |   | 1         | 2             | 3              |  |
| -     |   | Uncovered | Total         | Total          |  |
| 1.    | Member Months   | XXX       | 56,338        | 211 , 433      |  |
|       |   |           |               |                |  |
| 2.    | Net premium income  | XXX       | 7 ,982 ,059   | 30 , 935 , 527 |  |
| 3.    | Change in unearned premium reserves and reserve for rate credits                    | XXX       |               |                |  |
| 4.    | Fee-for-service (net of \$medical expenses)   | XXX       |               |                |  |
| 5.    | Risk revenue  |           |               |                |  |
| 6.    | Aggregate write-ins for other health care related revenues                          |           |               |                |  |
|       | Total revenues (Lines 2 to 6)   |           |               |                |  |
|       | Total Tovolidoo (Elito 2 to 3)  |           | ,,002,000     |                |  |
|       | Madical and Hamital   |           |               |                |  |
|       | Medical and Hospital:   |           | 2 227 404     | 0 012 007      |  |
| 8.    | Hospital/medical benefits   |           |               |                |  |
| 9.    | Other professional services   |           | 2,256,920     | 8 , 546 , 876  |  |
| 10.   | Outside referrals   |           |               |                |  |
| 11.   | Emergency room and out-of-area  |           |               |                |  |
| 12.   | Prescription drugs  |           | 2,072,944     | 7 ,064 , 881   |  |
| 13.   | Aggregate write-ins for other medical and hospital                                  |           |               |                |  |
| 14.   | Incentive pool and withhold adjustments   |           |               |                |  |
| 15.   | Subtotal (Lines 8 to 14)  |           | 6 , 933 , 928 | 26 , 424 , 098 |  |
|       |   |           |               |                |  |
|       | Less:   |           |               |                |  |
| 16.   | Net reinsurance recoveries  |           |               |                |  |
| 17.   | Total medical and hospital (Lines 15 minus 16)                                      |           |               | 26 424 098     |  |
|       |   |           |               |                |  |
| 18.   | Claims adjustment expenses  |           |               |                |  |
| 19.   | General administrative expenses.  |           |               |                |  |
| 20.   | Increase in reserves for accident and health contracts                              |           |               |                |  |
| 21.   | Total underwriting deductions (Lines 17 through 20)                                 |           |               |                |  |
| 22.   | Net underwriting gain or (loss) (Lines 7 minus 21)                                  |           |               |                |  |
| 23.   | Net investment income earned  |           | 22 , 533      | 163 , 648      |  |
| 24.   | Net realized capital gains or (losses)  |           |               |                |  |
| 25.   | Net investment gains or (losses) (Lines 23 plus 24)                                 |           | 22,533        | 163,648        |  |
| 26.   | Net gain or (loss) from agents' or premium balances charged off [(amount recovered  |           |               |                |  |
|       | \$) (amount charged off \$  |           |               |                |  |
| 27.   | Aggregate write-ins for other income or expenses                                    |           |               |                |  |
| 28.   | Net income or (loss) before federal income taxes (Lines 22 plus 25 plus 26 plus 27) |           | 384 456       | 1 480 709      |  |
| 29.   | Federal and foreign income taxes incurred   |           |               | , , , , ,      |  |
| 30.   | Net income (loss) (Lines 28 minus 29)   | XXX       | 384,456       | 1 , 480 , 709  |  |
| 30.   |   | ^^^       | 304,400       | 1,400,700      |  |
|       | DETAILS OF WRITE-INS  |           |               |                |  |
|       |   |           |               |                |  |
| 0602. |   | XXX       |               |                |  |
| 0603. |   | XXX       |               |                |  |
| 0698. | Summary of remaining write-ins for Line 6 from overflow page                        | XXX       |               |                |  |
| 0699. | Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)                              | XXX       |               |                |  |
| 1301. |   |           |               |                |  |
| 1302. |   |           |               |                |  |
| 1303. |   |           |               |                |  |
| 1398. | Summary of remaining write-ins for Line 13 from overflow page                       |           |               |                |  |
|       | Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)                             |           |               |                |  |
|       | Totals (Eline 1001 till 1000 plue 1000) (Eline 10 ubovo)                            |           |               |                |  |
|       |   |           |               |                |  |
|       |   |           |               |                |  |
| 2703. |   |           |               |                |  |
| 2798. | Summary of remaining write-ins for Line 27 from overflow page                       |           |               |                |  |
| 2799. | Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)                             |           |               |                |  |

# **CAPITAL AND SURPLUS ACCOUNT**

|       | CAPITAL AND SURPLUS ACCOUN                                       | 1                    | 2          |
|-------|--|----------------------|------------|
|       |  | Current Year to Date | Prior Year |
|       |  |                      |            |
|       | CAPITAL AND SURPLUS ACCOUNT:                                     |                      |            |
|       |  |                      |            |
| 31.   | Capital and surplus prior reporting period                       | 2,076,831            | 134,922    |
|       |  |                      |            |
|       | GAINS AND LOSSES TO CAPITAL & SURPLUS:                           |                      |            |
|       |  |                      |            |
| 32.   | Net income or (loss) from Line 30                                | 384 , 456            | 1,480,709  |
| 33.   | Change in valuation basis of aggregate policy and claim reserves |                      |            |
| 34.   | Net unrealized capital gains and losses                          |                      |            |
| 35.   | Change in net unrealized foreign exchange capital gain or (loss) |                      |            |
| 36.   | Change in net deferred income tax                                |                      |            |
| 37.   | Change in nonadmitted assets                                     | 669                  | 217 , 160  |
| 38.   | Change in unauthorized reinsurance                               |                      |            |
| 39.   | Change in treasury stock   |                      |            |
| 40.   | Change in surplus notes  |                      |            |
| 41.   | Cumulative effect of changes in accounting principles            |                      |            |
| 42.   | Capital Changes:   |                      |            |
|       | 42.1 Paid in   |                      | 244,040    |
|       | 42.2 Transferred from surplus (Stock Dividend)                   |                      |            |
|       | 42.3 Transferred to surplus                                      |                      |            |
| 43.   | Surplus adjustments:   |                      |            |
|       | 43.1 Paid in   |                      |            |
|       | 43.2 Transferred to capital (Stock Dividend)                     |                      |            |
|       | 43.3 Transferred from capital                                    |                      |            |
| 44.   | Dividends to stockholders  |                      |            |
| 45.   | Aggregate write-ins for gains or (losses) in surplus             |                      |            |
| 46.   | Net change in capital & surplus (Lines 32 to 45)                 |                      | 1,941,909  |
| 47.   | Capital and surplus end of reporting period (Line 31 plus 46)    | 2,461,956            | 2,076,831  |
|       |  | 2, 131,000           | 2,010,001  |
| 4501. | DETAILS OF WRITE-INS   |                      |            |
|       |  |                      |            |
| 4502. |  |                      |            |
| 4503. |  |                      |            |
| 4598. | Summary of remaining write-ins for Line 45 from overflow page    |                      |            |
| 4599. | Totals (Lines 4501 thru 4503 plus 4598) (Line 45 above)          |                      |            |

# **CASH FLOW**

|     | CASH FLOW  |                              |                 |
|-----|--|------------------------------|-----------------|
|     |  | 1<br>Current<br>Year to Date | 2<br>Prior Year |
|     | Cash from Operations   |                              |                 |
| 1.  | Premiums and revenues collected net of reinsurance                               | 7 ,892,059                   | 30 , 935 , 527  |
| 2.  | Claims and claims adjustment expenses  | 6 , 277 , 568                | 24 , 301 , 862  |
| 3.  | General administrative expenses paid   | 686 , 208                    | 3 , 194 , 368   |
| 4.  | Other underwriting income (expenses)   |                              |                 |
| 5.  | Cash from underwriting (Line 1 minus Line 2 minus Line 3 plus Line 4)            | 928, 283                     | 3 , 439 , 297   |
| 6.  | Net investment income  | 22,533                       | 163 , 649       |
| 7.  | Other income (expenses)  |                              |                 |
| 8.  | Federal and foreign income taxes (paid) recovered                                |                              |                 |
| 9.  | Net cash from operations (Lines 5 to 8)  | 950,816                      | 3,602,946       |
|     | Cash from Investments  |                              |                 |
| 10. | Proceeds from investments sold, matured or repaid:                               |                              |                 |
|     | 10.1 Bonds   |                              | 285 , 000       |
|     | 10.2 Stocks  |                              |                 |
|     | 10.3 Mortgage loans  |                              |                 |
|     | 10.4 Real estate   |                              |                 |
|     | 10.5 Other invested assets   |                              |                 |
|     | 10.6 Net gains or (losses) on cash and short-term investments                    |                              |                 |
|     | 10.7 Miscellaneous proceeds  |                              |                 |
|     | 10.8 Total investment proceeds (Lines 10.1 to 10.7)                              |                              | 285 , 000       |
| 11. | Cost of investments acquired (long-term only):                                   |                              |                 |
|     | 11.1 Bonds   |                              | 448 , 440       |
|     | 11.2 Stocks  |                              |                 |
|     | 11.3 Mortgage loans  |                              |                 |
|     | 11.4 Real estate   |                              |                 |
|     | 11.5 Other invested assets   |                              |                 |
|     | 11.6 Miscellaneous applications  |                              |                 |
|     | 11.7 Total investments acquired (Lines 11.1 to 11.6)                             |                              | 448 , 440       |
| 12. | Net Cash from investments (Line 10.8 minus Line 11.7)                            |                              | (163,440        |
|     | Cash from Financing and Miscellaneous Sources                                    |                              | ,               |
| 13  | Cash provided:   |                              |                 |
|     | 13.1 Surplus notes, capital and surplus paid in                                  |                              | 244,040         |
|     | 13.2 Net transfers from affiliates   |                              | · · · · ·       |
|     | 13.3 Borrowed funds received   |                              |                 |
|     | 13.4 Other cash provided   |                              |                 |
|     | 13.5 Total (Lines 13.1 to 13.4)  |                              | 244,040         |
| 14  | Cash applied:  |                              |                 |
|     | 14.1 Dividends to stockholders paid  |                              |                 |
|     | 14.2 Net transfers to affiliates   |                              |                 |
|     | 14.3 Borrowed funds repaid   |                              | 350 , 000       |
|     | 14.4 Other applications  |                              |                 |
|     |  |                              | 350,000         |
| 15  | 14.5 Total (Lines 14.1 to 14.4)  |                              | (105,960        |
|     | RECONCILIATION OF CASH AND SHORT-TERM INVESTMENTS                                | 20,010                       | 1,100,000       |
|     | REGORGIENTOR OF GROTI AND GHORT-TERM INVESTMENTS                                 |                              |                 |
| 16. | Net change in cash and short-term investments (Line 9 plus Line 12 plus Line 15) | 971,795                      | 3, 333, 546     |
| 17. | Cash and short-term investments:   |                              |                 |
|     | 17.1 Beginning of period   |                              |                 |
|     | 17.2 End of period (Line 16 plus Line 17.1)                                      | 5,413,775                    | 4,441,980       |

#### **EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

|   | EVUIDII       |                 |                        | TIALLOFFIA             | LITI AIT       | OTILIZA        | 711011                                   |                         |                       |          |
|---|---------------|-----------------|------------------------|------------------------|----------------|----------------|--|-------------------------|-----------------------|----------|
|   | 1             | (Hospital       | ehensive<br>& Medical) | 4                      | 5              | 6              | 7  | 8                       | 9                     | 10       |
|   | Total         | 2<br>Individual | 3<br>Group             | Medicare<br>Supplement | Vision<br>Only | Dental<br>Only | Federal Employees<br>Health Benefit Plan | Title XVIII<br>Medicare | Title XIX<br>Medicaid | Other    |
| Total Members at end of:                                  |               |                 |                        |                        |                |                |  |                         |                       |          |
| 1. Prior Year   | 21,433        |                 |                        |                        |                |                |  |                         | 207 ,981              | 3,452    |
| 2 First Quarter   | 56 , 338      |                 |                        |                        |                |                |  |                         | 55,395                | 943      |
| 3 Second Quarter  |               |                 |                        |                        |                |                |  |                         |                       |          |
| 4. Third Quarter  |               |                 |                        |                        |                |                |  |                         |                       |          |
| 5. Current Year   |               |                 |                        |                        |                |                |  |                         |                       |          |
| 6 Current Year Member Months                              | 56,338        |                 |                        |                        |                |                |  |                         | 55,395                | 943      |
| Total Member Ambulatory Encounters for Period:            |               |                 |                        |                        |                |                |  |                         |                       |          |
| 7. Physician  | 27 ,004       |                 |                        |                        |                |                |  |                         | 26,714                | 290      |
| 8. Non-Physician  | 53,851        |                 |                        |                        |                |                |  |                         | 53,417                | 434      |
| 9. Total  | 80,855        |                 |                        |                        |                |                |  |                         | 80,131                | 724      |
| 10. Hospital Patient Days Incurred                        | 1,674         |                 |                        |                        |                |                |  |                         | 1,671                 | 3        |
| 11. Number of Inpatient Admissions                        | 514           |                 |                        |                        |                |                |  |                         | 513                   | 1        |
| 12. Premiums Collected                                    | 8 , 317 , 105 |                 |                        |                        |                |                |  |                         | 8,248,881             | 68 , 224 |
| 13. Premiums Earned                                       | 7,982,059     |                 |                        |                        |                |                |  |                         | 7,913,835             | 68,224   |
| 14. Amount Paid for Provision of Health Care Services     | 6,703,776     |                 |                        |                        |                |                |  |                         | 6 , 679 , 176         | 24 ,600  |
| 15. Amount Incurred for Provision of Health Care Services | 6,16,943      |                 |                        |                        |                |                |  |                         | 6,138,113             | 28,830   |

# CLAIMS PAYABLE (Reported and Unreported) Aging Analysis of Unpaid Claims

| Aging Analysis of Unpaid Claims   |             |              |              |               |               |               |  |  |  |
|---|-------------|--------------|--------------|---------------|---------------|---------------|--|--|--|
| , 1   | 2           | 3            | 4            | 5             | 6             | 7             |  |  |  |
| Account   | 1 - 30 Days | 31 - 60 Days | 61 - 90 Days | 91 - 120 Days | Over 120 Days | Total         |  |  |  |
| Claims Payable (Reported) Paid Prescripts on LLC  | 000 005     |              |              |               |               | 200 005       |  |  |  |
| Paid Prescripts on LC   | 399,685     |              |              |               | 47.005        | 399,685       |  |  |  |
| Bell Memorial Hospital  | 179         | 117          | 49           | 110           | 17 ,395       | 17 ,850       |  |  |  |
| Dickinson County Healthcare System.   | 108         | 1,943        | 307          | 12            | 3,624         | 5,994         |  |  |  |
| Keweenaw Memorial Medical Center  | 12,846      | 234          | 650          | 50<br>350     | U             | 13,780        |  |  |  |
| Keweenaw Memorial Medical Center. Marquette General Health System. Munising Memorial Hospital | 14,984      | 4,962        | 1,573        | 350           | 11,780        | 33,649        |  |  |  |
| Munising Memorial Hospital  | 279         | 3,680        | 45           | <u>0</u>      | 2,131         | 6,135         |  |  |  |
| St. vincent Hospital  |             | 8,583        |              | U             | U             | 8,583         |  |  |  |
| War Memorial Hospital   |             | 1,293        | 31           | 272           | 6,035         | 9 ,435        |  |  |  |
|   |             |              |              |               |               |               |  |  |  |
|   |             |              |              |               |               |               |  |  |  |
|   |             |              |              |               |               |               |  |  |  |
|   |             |              |              |               |               |               |  |  |  |
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|   |             | <del> </del> |              |               | ······        |               |  |  |  |
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|   |             | <del> </del> |              |               |               |               |  |  |  |
|   |             | +            |              |               |               |               |  |  |  |
| 0199999 Individually Listed Claims Payable  | 429 ,885    | 20,812       | 2.655        | 794           | 40,965        | 495 ,111      |  |  |  |
| 0299999 Aggregate Accounts Not Individually Listed-Uncovered                                  | 420,000     | 20,012       | 2,000        | 104           | 40,000        | 400,111       |  |  |  |
| 0399999 Aggregate Accounts Not Individually Listed-Covered                                    |             | 1            |              |               |               |               |  |  |  |
|   | 420 005     | 20, 042      | 2.655        | 794           | 40.065        | 40E 111       |  |  |  |
| 0499999 Subtotals   | 429 ,885    | 20,812       |              |               | 40,965        | 495,111       |  |  |  |
| 0599999 Unreported Claims and Other Claim Reserves  | XXX         | XXX          | XXX          | XXX           | XXX           | 5 , 67,832    |  |  |  |
| 0699999 Total Amounts Withheld  | XXX         | XXX          | XXX          | XXX           | XXX           |               |  |  |  |
| 0799999 Total Claims Payable  | XXX         | XXX          | XXX          | XXX           | XXX           | 6 , 166 , 943 |  |  |  |
| 0899999 Accrued Medical Incentive Pool  | XXX         | XXX          | XXX          | XXX           | XXX           | 114,264       |  |  |  |

#### 'n

#### STATEMENT AS OF MARCH 31, 2002 OF THE Upper Peninsula Health Plan

# **UNDERWRITING AND INVESTMENT EXHIBIT**

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

| ANALYSIS OF CLAIMS UNPAID - PRIOR Y                     |  |  |   |  |  |   |
|---|--|--|---|--|--|---|
|   | Cla  |  | Liab  |  |  |   |
|   | Paid Yea   |  | End of Current Quarter                          |  | 5  | 6   |
|   | 1  | 2  | 3   | 4  |  |   |
| Line of Business  | On<br>Claims Incurred Prior<br>to January 1 of<br>Current Year | On<br>Claims Incurred<br>During the Year | On<br>Claims Unpaid<br>Dec. 31<br>of Prior Year | On<br>Claims Incurred<br>During the Year | Claims Incurred<br>in Prior Years<br>(Columns 1 + 3) | Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year |
|   |  | -  |   | -  |  |   |
| Comprehensive (Hospital & Medical)                      |  |  |   |  |  |   |
| Medicare Supplement                                     |  |  |   |  |  |   |
|   |  |  |   |  |  |   |
| 3. Dental Only  |  |  |   |  |  |   |
| 4. Vision Only  |  |  |   |  |  |   |
|   |  |  |   |  |  |   |
| Federal Employees Health Benefits Plan Premiums         |  |  |   |  |  |   |
| 6. Title XVIII - Medicare                               |  |  |   |  |  |   |
| 7. Title XIX - Medicaid                                 | 2,795,342  | 3 ,88 ,834                               | 2 ,915 ,350                                     | 3 ,22 ,763                               | 5 ,710 ,692  | 5 ,904 ,359   |
| ·· INSTANCE   | ,, oo ,o+2   |  |   |  |  | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,                           |
| 8. Other  |  | 11 ,957                                  |   | 19 ,855                                  | 21 ,618  | <b></b>   |
|   |  |  |   |  |  |   |
| 9. Subtotal   | 2 ,807 ,985  | 3 ,895 ,791                              | 2,924,325                                       | 3 , <b>24</b> 618                        | 5 ,7 <b>2</b> ,310                                   | 5,936, 791  |
| 10. Medical incentive pools, accruals and disbursements |  |  |   |  |  |   |
| 11. Totals  | 2,807,985  | 3 ,895 ,791                              | 2,924,325                                       | 3,24618                                  | 5 ,7 <b>2</b> ,310                                   | 5 ,936 ,791   |
| 11. TOTALS  | 2,007,000  | 0,000,101                                | 2,027,020                                       | 0,27010                                  | 0,12,010   | 0,000,701   |

# **NOTES TO FINANCIAL STATEMENTS**

#### **GENERAL INTERROGATORIES**

(Responses to these interrogatories should be based on changes that have occurred since the prior year end unless otherwise noted.)

# PART 1 - COMMON INTERROGATORIES GENERAL

|            | Statements?   | Yes [ ]        | No [X |
|------------|---|----------------|-------|
| 1.2        | If yes, explain:  |                |       |
| 2.1        | Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?   | Yes [ ]        | No [X |
| 2.2        | If yes, has the report been filed with the domiciliary state?   | Yes [ ]        | No [X |
| 3.1        | Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?   | Yes [ ]        | No [X |
| 3.2        | If yes, date of change:   |                |       |
|            | If not previously filed, furnish herewith a certified copy of the instrument as amended.  |                |       |
| 4.         | Have there been any substantial changes in the organizational chart since the prior quarter end?  | Yes [ ]        | No [X |
|            | If yes, attach an organizational chart.   |                |       |
| 5.1        | Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?   | Yes [ ]        | No [X |
| 5.2        | If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has  |                |       |
|            | ceased to exist as a result of the merger or consolidation.  1 2 3  |                |       |
|            | Name of Entity NAIC Company Code State of Domicile  |                |       |
|            |   |                |       |
|            |   |                |       |
|            |   |                |       |
|            |   |                |       |
| 6.         | If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-infact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?  | Yes [ ] No [X] | NA [  |
|            | If yes, attach an explanation.  |                |       |
|            |   |                |       |
| 7.1<br>7.2 | State as of what date the latest financial examination of the reporting entity was made or is being made.  State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This   |                |       |
| 1.2        | date should be the date of the examined balance sheet and not the date the report was completed or released.  |                |       |
| 7.3        | State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).                                 |                |       |
| 7.4        | By what department or departments?  |                |       |
| 8.1        | Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? (You need not report an action, either formal or informal, if a confidentiality clause is part of the agreement.) | Yes [ ]        | No [X |
| 8.2        | If yes, give full information:  |                | -     |
|            |   |                |       |

#### **GENERAL INTERROGATORIES**

(Responses to these interrogatories should be based on changes that have occurred since the prior year end unless otherwise noted.) **INVESTMENT** 

| 9.1            | Has there been any change in the reporting entity's own preferred or common stoc   | k?                         |  |                        |   | Yes [ ] No [X] |
|----------------|--|----------------------------|--|------------------------|---|----------------|
| 9.2            | If yes, explain:   |                            |  |                        |   |                |
|                | Were any of the stocks, bonds, or other assets of the reporting entity loaned, place for use by another person? (Exclude securities under securities lending agreement If yes, give full and complete information relating thereto:                                  | ts.)                       | <u> </u>   |                        |   | Yes [ ] No [X] |
| 11.            | Amount of real estate and mortgages held in other invested assets in Schedule BA   | ١:                         |  |                        | \$                                      |                |
| 12.            | Amount of real estate and mortgages held in short-term investments:  |                            |  |                        | \$                                      |                |
| 13.1           | Does the reporting entity have any investments in parent, subsidiaries and affiliate   | es?                        |  |                        |   | Yes [ ] No [X] |
| 13.2           | If yes, please complete the following:   |                            |  |                        |   |                |
|                |  | •                          | 1<br>Prior Year-End<br>Statement Value                 |                        | 2<br>Current Quarter<br>Statement Value |                |
| 13.2           | Preferred Stock  | \$ .                       |  | \$                     |   | -              |
| 13.23<br>13.24 | Short-term Investments   |                            |  |                        |   |                |
| 13.28<br>13.28 | 0 0 7  | - 1                        |  | - 1                    |   |                |
| 13.27          | Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 13.21 to 13.26)  | \$ .                       |  | \$                     |   | -              |
| 13.28<br>13.29 |  | -                          |  |                        |   |                |
| 14.1           | Does the reporting entity have any hedging transactions reported on Schedule DB'   | ?                          |  |                        |   | Yes [ ] No [X] |
|                | If yes, has a comprehensive description of the hedging program been made availa  |                            |  |                        |   | Yes [ ] No [X] |
|                |  |                            | ,  |                        |   |                |
|                | If no, attach a description with this statement.   |                            |  |                        |   |                |
| 15.            | Excluding items in Schedule E, real estate, mortgage loans and investments held proposit boxes, were all stocks, bonds and other securities, owned throughout the company in accordance with Part 1 - General, Section IV.H. Financial Condition Examiners Handbook? | current year<br>- Custodia | ar held pursuant to a custo<br>I or Safekeeping Agreem | odial agr<br>ents of t | eement with a<br>he NAIC                | Yes [ ] No [X] |
| 15.1           | For all agreements that comply with the requirements of the NAIC Financial Condit  | tion Exam                  | iners Handbook, complete                               | e the foll             | lowing:                                 |                |
|                | 1  |                            | 2  |                        |   |                |
|                | Name of Custodian(s)   |                            | Custodian Addre  | ess                    |   |                |
|                |  |                            |  |                        |   |                |
| 15.2           | For all agreements that do not comply with the requirements of the NAIC Financial location and a complete explanation:   | Condition                  | Examiners Handbook, pr                                 | ovide th               | ne name,                                |                |
|                | 1 2 Name(s) Location(s)  |                            | 3<br>Complete Ex                                       | olanatio               | n(s)                                    |                |
|                | reamo(s) Location(s)   |                            | Complete Ex  | ola latio              | (0)                                     |                |
| 15.0           | Llove there have no any changes including name above so in the quetodian(s) identifies   | ممانم 1.F. 1               | during the gurrent year?                               |                        |   | Von [ ] No [V] |
|                | Have there been any changes, including name changes in the custodian(s) identified   | ea in 15.1                 | during the current year?                               |                        |   | Yes [ ] No [X] |
| 15.4           | If yes, give full and complete information relating thereto:   |                            |  |                        |   |                |
|                | 1 2 Old Custodian New Custodian  | 3<br>Date of Ch            | ange R   | 4<br>eason             |   |                |
|                |  |                            |  |                        |   |                |
| 15.5           | Identify all investment advisors, brokers/dealers or individuals acting on behalf of b accounts, handle securities and have authority to make investments on behalf of the   |                            |  | ne inves               | tment                                   |                |
|                | 1 2  |                            |  | 3                      |   |                |
|                | Central Registration Depository Name   | e(s)                       |  | Address                |   |                |
|                |  |                            |  | -                      | <del></del>                             |                |

#### **SCHEDULE A - VERIFICATION**

|     |   | 1             | 2              | 3             | 4                |
|-----|---|---------------|----------------|---------------|------------------|
|     |   | First Quarter | Second Quarter | Third Quarter | Prior Year Ended |
|     |   | Current Year  | Current Year   | Current Year  | December 31      |
| 1.  | Book/adjusted carrying value, beginning of period                           |               |                |               |                  |
| 2.  | Increase (decrease) by adjustment   |               |                |               |                  |
| 3.  | Cost of acquired  |               |                |               |                  |
|     | Cost of additions to and permanent improvements                             |               |                |               |                  |
| 5.  | Total profit (loss) on sales  |               |                |               |                  |
| 6.  | Increase (decrease) by foreign exchange adjustment                          |               |                |               |                  |
| 7.  | Amount received on sales  |               |                |               |                  |
| 8.  | Book/adjusted carrying value at end of current period                       |               |                |               |                  |
| 9.  | Total valuation allowance   |               |                |               |                  |
| 10. | Subtotal (Lines 8 plus 9)   |               |                |               |                  |
|     | Total nonadmitted amounts   |               |                |               |                  |
| 12. | Statement value, current period (Page 2, real estate lines, current period) |               |                |               |                  |

#### **SCHEDULE B - VERIFICATION**

| 0011202  |               |                |               |                  |
|--|---------------|----------------|---------------|------------------|
|  | 1             | 2              | 3             | 4                |
|  | First Quarter | Second Quarter | Third Quarter | Prior Year Ended |
|  | Current Year  | Current Year   | Current Year  | December 31      |
| Book/recorded investment excluding accrued interest on mortgages     beginning of period         |               |                |               |                  |
| Amount loaned during period:   |               |                |               |                  |
| 2.1. Actual cost at time of acquisitions   |               |                |               |                  |
| 2.2. Additional investment made after acquisitions   |               |                |               |                  |
| 3. Accrual of discount and mortgage interest points and committment f                            |               |                |               |                  |
| Increase (decrease) by adjustment  |               |                |               |                  |
| Total profit (loss) on sale  |               |                |               |                  |
| Amounts paid on account or in full during the period   |               |                |               |                  |
| 7. Amortization of premium   |               |                |               |                  |
| Increase (decrease) by foreign exchange adjustment   |               |                |               |                  |
| Book value/recorded investment excluding accrued interest on mort owned at end of current period |               |                |               |                  |
| 10. Total valuation allowance  |               |                |               |                  |
| 11. Subtotal (Lines 9 plus 10)   |               |                |               |                  |
| 12. Total nonadmitted amounts  |               |                |               |                  |
| 13. Statement value of mortgages owned at end of current period                                  |               |                |               |                  |

#### **SCHEDULE BA - VERIFICATION**

Other Invested Assets Included in Schedule BA Prior Year Ended First Quarter Second Quarter Third Quarter Current Year Current Year Current Year December 31 1. Book/adjusted carrying value of long-term invested assets owned, beginning 2. Cost of acquisitions during period: 2.1. Actual cost at time of acquisitions 2.2. Additional investment made after acquisitions . 4. Increase (decrease) by adjustment ... 5. Total profit (loss) on sale ... 6. Amounts paid on account or in full during the period . 7. Amortization of premium 8. Increase (decrease) by foreign exchange adjustment... 9. Book/adjusted carrying value of long-term invested assets at end of current period. 10. Total valuation allowance 11. Subtotal (Lines 9 plus 10) 12. Total nonadmitted amounts 13. Statement value of long-term invested assets at end of current period

# **SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

|                                     | 1<br>Statement<br>Value<br>Beginning<br>of Current Quarter | 2 Acquisitions During Current Quarter | Quarter for all Bonds and P  3  Dispositions During Current Quarter | 4<br>Non-Trading<br>Activity<br>During Current Quarter | 5<br>Statement<br>Value<br>End of<br>First Quarter | 6<br>Statement<br>Value<br>End of<br>Second Quarter | 7<br>Statement<br>Value<br>End of<br>Third Quarter | 8<br>Statement<br>Value<br>December 31<br>Prior Year |
|-------------------------------------|--|---------------------------------------|---|--|--|---|--|--|
| BONDS                               |  |                                       |   |  |  |   |  |  |
| 1. Class 1                          | 1 ,00 ,707   | 0                                     | 0   | 2 , 269  | 1 ,003 ,976  |   |  | 707, Do, 11,0d                                       |
| 2. Class 2                          | -  |                                       |   |  |  |   |  |  |
| 3. Class 3                          |  |                                       |   |  |  |   |  |  |
| 4. Class 4                          | -  |                                       |   |  |  |   |  |  |
| 5. Class 5                          |  |                                       |   |  |  |   |  |  |
| 6. Class 6                          |  |                                       |   |  |  |   |  |  |
| 7. Total Bonds                      | 1,00,707   |                                       |   | 2,269  | 1,003,976  |   |  | 1,00,707   |
| PREFERRED STOCK                     |  |                                       |   |  |  |   |  |  |
| 8. Class 1                          | -  |                                       |   |  |  |   |  |  |
| 9. Class 2                          |  |                                       |   |  |  |   |  |  |
| 10. Class 3                         |  |                                       |   |  |  |   |  |  |
| 11. Class 4                         |  |                                       |   |  |  |   |  |  |
| 12. Class 5                         |  |                                       |   |  |  |   |  |  |
| 13. Class 6                         |  |                                       |   |  |  |   |  |  |
| 14. Total Preferred Stock           |  |                                       |   |  |  |   |  |  |
| 15. Total Bonds and Preferred Stock | 7,00, 1  |                                       |   | 2,269  | 1,003,976  |   |  | 1,00,707   |

# **SCHEDULE DA - PART 1**

Short-Term Investments Owned End of Current Quarter

| 1 |                | 1              | 2         | 3           | 4                  | 5        |
|---|----------------|----------------|-----------|-------------|--------------------|----------|
|   |                |                |           |             | Amount of Interest | Paid for |
|   |                | Book/Adjusted  |           |             | Received Current   | Accrued  |
|   |                | Carrying Value | Par Value | Actual Cost | Quarter            | Interest |
|   |                |                |           |             |                    |          |
|   | 8099999 Totals | 486 , 307      | XXX       | 484,014     | 1,263              | 0        |

# **SCHEDULE DA - PART 2- Verification**

| Short-Te   | rm Investments Owned               |                                     |                                    |                                      |
|--|------------------------------------|-------------------------------------|------------------------------------|--------------------------------------|
|  | 1<br>First Quarter<br>Current Year | 2<br>Second Quarter<br>Current Year | 3<br>Third Quarter<br>Current Year | 4<br>Prior Year Ended<br>December 31 |
| Book/adjusted carrying value, beginning of period            | 485 , 262                          |                                     |                                    | -                                    |
| Cost of short-term investments acquired                      |                                    |                                     |                                    | 485 , 262                            |
| Increase (decrease) by adjustment                            | 1 , 045                            |                                     |                                    |                                      |
| Increase (decrease) by foreign exchange adjustment           |                                    |                                     |                                    |                                      |
| Total profit (loss) on disposal of short-term investments    |                                    |                                     |                                    |                                      |
| Consideration received on disposal of short-term investments |                                    |                                     |                                    |                                      |
| Book/adjusted carrying value, current period                 | 486 , 307                          |                                     |                                    | 485 , 262                            |
| Total valuation allowance                                    |                                    |                                     |                                    |                                      |
| 9. Subtotal (Lines 7 plus 8)                                 | 486 , 307                          |                                     |                                    | 485 , 262                            |
| 10. Total nonadmitted amounts                                |                                    |                                     |                                    |                                      |
| 11. Statement value (Lines 9 minus 10)                       | 486 , 307                          |                                     |                                    | 485 , 262                            |
| 12. Income collected during period                           | 1,263                              |                                     |                                    | 6,008                                |
| 13. Income earned during period                              |                                    |                                     |                                    |                                      |

# **SCHEDULE DB - PART F- SECTION 1**

Summary of Replicated (Synthetic) Assets Open

|                | Replicated (Synthetic) Asset |                                     |           |            |                          | Components of the Replicated (Synthetic) Asset |                                       |             |                  |            |                   |  |
|----------------|------------------------------|-------------------------------------|-----------|------------|--------------------------|--|---------------------------------------|-------------|------------------|------------|-------------------|--|
| 1              | 2                            | 3                                   | 4         | 5          | Derivative Instruments ( | Open   |                                       | Cash        | Instrument(s) He | eld        |                   |  |
|                |                              |                                     |           |            | 6                        | 7  | 8                                     | 9           | 10               | 11         | 12                |  |
| Replication    |                              | NAIC                                |           |            |                          |  |                                       |             |                  |            | NAIC              |  |
| RSAT           |                              | Designation or<br>Other Description | Statement |            |                          |  |                                       |             | Statement        |            | Designation or    |  |
| Number         | Description                  | Other Description                   | Value     | Fair Value | Description              | Fair Value                                     | CUSIP                                 | Description | Value            | Fair Value | Other Description |  |
|                |                              |                                     |           |            |                          |  |                                       |             |                  |            |                   |  |
|                |                              |                                     |           |            |                          |  |                                       |             |                  |            |                   |  |
|                |                              |                                     |           |            |                          |  |                                       |             |                  |            |                   |  |
|                |                              |                                     |           |            |                          |  | • • • • • • • • • • • • • • • • • • • |             |                  |            |                   |  |
|                |                              |                                     |           |            |                          |  |                                       |             |                  |            |                   |  |
|                |                              |                                     |           |            |                          |  |                                       |             |                  |            |                   |  |
|                |                              |                                     |           |            |                          |  |                                       |             |                  |            |                   |  |
|                |                              |                                     |           |            |                          |  |                                       |             |                  |            |                   |  |
| 9999999 Totals |                              |                                     |           |            | XXX                      |  | XXX                                   | XXX         |                  |            | XXX               |  |

# **SCHEDULE DB - PART F - SECTION 2**

Reconciliation of Replicated (Synthetic) Assets Open

|   | First Q             | luarter            | Second              | Quarter            | Third C             | Quarter            | Fourth (            | Quarter            | Year-to-Date        |                    |
|---|---------------------|--------------------|---------------------|--------------------|---------------------|--------------------|---------------------|--------------------|---------------------|--------------------|
|   | 1                   | 2                  | 3                   | 4                  | 5                   | 6                  | 7                   | 8                  | 9                   | 10                 |
|   |                     | Total Replicated   |
|   |                     | (Synthetic) Assets |
|   | Number of Positions | Statement Value    |
|   |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
| Beginning Inventory                                   |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
|   |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
| 2 Adds Opened or Acquired Transportions               |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
| Add: Opened or Acquired Transactions                  |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
|   |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
| Add: Increases in Replicated Asset Statement Value    | XXX                 |                    |
|   |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
| Less: Closed or Disposed of Transactions              |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
| ·   |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
| Less: Positions Disposed of for Failing Effectiveness |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
| Criteria  |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
|   |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
| Less: Decreases in Replicated (Synthetic) Asset       |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
| Statement Value                                       | XXX                 |                    | XXX                 |                    | ххх                 |                    | XXX                 |                    | XXX                 |                    |
| Glaterile value                                       | AAA                 |                    | ΛΛΛ                 |                    | ^///                |                    | Λ///                |                    | ۸۸۸                 |                    |
|   |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |
| 7. Ending Inventory                                   |                     |                    |                     |                    |                     |                    |                     |                    |                     |                    |

# SCHEDULE S—CEDED REINSURANCE Showing all new reinsurers - Current Year to Date

| NAIC Company Code    Name of Reinsurer   Location       | Authorized?<br>(Yes or No) |
|---|----------------------------|
| 6634658-0828824Risk Based SolutionsMiami, Florida 33126 | Yes                        |
| ACCIDENT AND HEALTH AFFILIATES                          |                            |
| ACCIDENT AND HEALTH NON-AFFILIATES                      |                            |
|   |                            |
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# SCHEDULE T PREMIUMS AND OTHER CONSIDERATIONS

|              |                         |    | 1                               | 2                                      | ^             |                              | Only Year-to-Date          |   |
|--------------|-------------------------|----|---------------------------------|--|---------------|------------------------------|----------------------------|---|
|              | States, Etc.            |    | Guaranty<br>Fund<br>(Yes or No) | Is Insurer<br>Licensed?<br>(Yes or No) | 3<br>Premiums | 4<br>Medicare<br>Title XVIII | 5<br>Medicaid<br>Title XIX | 6 Federal Employee Health Benefit Program Premiun |
| 1 /          | Alabama                 | ۸۱ | (163 01 140)                    | (163 01 140)                           | i remiums     | Title XVIII                  | THE AIA                    | i rogram i remidi                                 |
|              | Alaska                  |    |                                 |  |               |                              |                            |   |
|              | Arizona                 |    |                                 |  |               |                              |                            |   |
|              |                         |    | ,                               |  |               |                              | -                          |   |
|              | Arkansas                |    |                                 |  |               |                              |                            |   |
|              | California              |    |                                 |  |               |                              |                            |   |
|              | Colorado                |    | ,                               |  |               |                              | -                          |   |
|              | Connecticut             |    |                                 |  |               |                              |                            |   |
|              | Delaware                |    |                                 |  |               |                              |                            |   |
| 9. [         | District of Columbia    | DC |                                 |  |               |                              |                            |   |
|              | Florida                 |    |                                 |  |               |                              |                            |   |
|              | Georgia                 |    |                                 |  |               |                              | -                          |   |
| 12. H        | Hawaii                  | HI |                                 |  |               |                              |                            |   |
| 13. I        | daho                    | ID |                                 |  |               |                              |                            |   |
| 14. I        | llinois                 | IL |                                 |  |               |                              |                            |   |
| 15. I        | ndiana                  | IN |                                 |  |               |                              |                            |   |
| 16. I        | owa                     | IA |                                 |  |               |                              |                            |   |
| 17. ł        | Kansas                  | KS |                                 |  |               |                              |                            |   |
| 18. k        | Kentucky                | KY |                                 |  |               |                              |                            |   |
|              | _ouisiana               |    |                                 |  |               |                              |                            |   |
|              | Maine                   |    |                                 |  |               |                              |                            |   |
|              | Maryland                |    |                                 |  |               |                              |                            |   |
|              | Massachusetts           |    |                                 |  |               |                              |                            |   |
|              | Michigan                |    | No                              | Yes                                    |               |                              |                            |   |
|              | Minnesota               |    |                                 |  | 0,100,424     |                              |                            |   |
|              | Mississippi             |    |                                 |  |               |                              |                            |   |
|              | • •                     |    |                                 |  |               |                              | -                          |   |
|              | Missouri                |    |                                 |  |               |                              |                            |   |
|              | Montana                 |    |                                 |  |               |                              |                            |   |
|              | Nebraska                |    |                                 |  |               |                              |                            |   |
|              | Nevada                  |    |                                 |  |               |                              |                            |   |
|              | New Hampshire           |    |                                 |  |               |                              |                            |   |
| 31. 1        | New Jersey              | NJ |                                 |  |               |                              |                            |   |
|              | New Mexico              |    |                                 |  |               |                              |                            |   |
| 33. 1        | New York                | NY |                                 |  |               |                              |                            |   |
| 34. 1        | North Carolina          | NC |                                 |  |               |                              |                            |   |
| 35. 1        | North Dakota            | ND |                                 |  |               |                              |                            |   |
| 36. (        | Ohio                    | OH |                                 |  |               |                              |                            |   |
| 37. (        | Oklahoma                | OK |                                 |  |               |                              |                            |   |
| 38. (        | Oregon                  | OR |                                 |  |               |                              |                            |   |
| 39. F        | Pennsylvania            | PA |                                 |  |               |                              |                            |   |
|              | Rhode Island            |    |                                 |  |               |                              |                            |   |
|              | South Carolina          |    |                                 |  |               |                              |                            |   |
|              | South Dakota            |    |                                 |  |               |                              |                            |   |
|              | Tennessee               |    |                                 |  |               |                              |                            |   |
|              |                         |    |                                 |  |               |                              |                            |   |
|              | Гехаs<br>Jtah           |    |                                 |  |               |                              |                            |   |
|              |                         |    |                                 |  |               |                              | <u> </u>                   |   |
|              | /ermont                 |    |                                 |  |               |                              |                            |   |
|              | Virginia                |    |                                 |  |               |                              |                            |   |
|              | Washington              |    |                                 |  |               |                              | -                          |   |
|              | West Virginia           |    |                                 |  |               |                              |                            |   |
|              | Wisconsin               |    |                                 |  |               |                              | -                          |   |
|              | Nyoming                 |    |                                 |  |               |                              |                            |   |
| 52. <i>A</i> | American Samoa          |    |                                 |  |               |                              | -                          |   |
| 53. (        | Guam                    | GU |                                 |  |               |                              | -                          |   |
| 54. F        | Puerto Rico             | PR |                                 |  |               |                              |                            |   |
| 55. l        | J.S. Virgin Islands     | VI |                                 |  |               |                              |                            |   |
| 56 (         | Canada                  | CN |                                 |  |               |                              | <b>.</b>                   |   |
| 57. <i>A</i> | Aggregate Other Alien   | OT | XXX                             | XXX                                    |               |                              |                            |   |
|              | Total (Direct Business) |    | XXX                             | (a) 1                                  | 8 , 735 , 424 |                              |                            |   |
|              | DETAILS OF WRITE-INS    |    |                                 |  |               |                              |                            |   |
| '01.         |                         |    |                                 |  |               |                              |                            |   |
|              |                         |    |                                 |  |               |                              |                            |   |
|              |                         |    |                                 |  |               |                              |                            |   |
| '03.         |                         |    |                                 |  |               |                              |                            |   |

<sup>(</sup>a) Insert the number of yes responses except for Canada and Other Alien.

# SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

#### SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing on "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory question.

|       |   | RESPONSE |
|-------|---|----------|
| 1.    | Will the SVO Compliance Certification be filed with this statement? | No       |
| xpla  | nation:   |          |
| ar C  | ode:  |          |
| svo c | Compliance Certification (Document Identifier 470) here:            |          |
|       |   |          |

# **OVERFLOW PAGE FOR WRITE-INS**

# **SCHEDULE A - PART 2**

| Showing all Real Estate ACQUIRED During the Current Qua | tor |
|---|-----|

| 1                       |      |       | 4        | 5              | 6           | 7                      | 8   | 9   |
|-------------------------|------|-------|----------|----------------|-------------|------------------------|---|---|
|                         | Loc  | ation |          |                |             |                        |   |   |
|                         | 2    | 3     |          |                |             |                        |   | Expended for Additions<br>and Permanent<br>Improvements |
|                         |      |       | Date     |                |             |                        | Book/Adjusted Carrying                            | and Permanent   |
| Description of Property | City | State | Acquired | Name of Vendor | Actual Cost | Amount of Encumbrances | Book/Adjusted Carrying<br>Value Less Encumbrances | Improvements  |
|                         |      |       |          |                |             |                        |   |   |
|                         |      |       |          |                |             |                        |   |   |
|                         |      |       |          |                |             |                        |   |   |
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|                         |      |       |          |                |             |                        |   |   |
|                         |      |       |          |                |             |                        |   |   |
|                         |      |       |          |                |             |                        |   |   |
|                         |      |       |          |                |             |                        |   |   |
|                         |      |       |          |                | <b>-</b>    |                        | <b>-</b>  |   |
|                         |      |       |          |                |             |                        |   |   |
|                         |      |       |          |                |             |                        |   |   |
|                         |      |       |          |                |             |                        |   |   |
|                         |      |       |          |                |             |                        |   |   |
| 9999999 - Totals        | -    |       |          | •              |             |                        |   |   |

# **SCHEDULE A - PART 3**

#### Showing all Real Estate SOLD during the Current Quarter, including Payments during the Final Year on "Sales under Contract"

| 1                       | Loca |       | 4        | 5                 | 6           | 7          | 8          | 9              | 10         | 11       | 12            | 13            | 14           | 15          | 16          |
|-------------------------|------|-------|----------|-------------------|-------------|------------|------------|----------------|------------|----------|---------------|---------------|--------------|-------------|-------------|
| · ·                     | 2    | 3     | 1        | Č                 | l           | · ·        | Ĭ          | Expended       |            |          | ·-            |               |              |             |             |
|                         | _    | •     |          |                   |             |            |            | for Additions, |            |          |               |               |              | Gross       |             |
|                         |      |       |          |                   |             |            |            | Permanent      | Book/      |          |               |               |              | Income      |             |
|                         |      |       |          |                   |             |            | Increase   | Improvements   |            |          |               |               |              | Earned Less |             |
|                         |      |       |          |                   |             | Increase   |            | and Changes    | Carrying   |          | Foreign       |               |              | Interest    | Taxes,      |
|                         |      |       |          |                   |             | (Decrease) | by Foreign | in             | Value Less |          | Exchange      | Realized      | Total Profit | Incurred on | Repairs and |
|                         |      |       | Disposal |                   |             | by         | Exchange   | Encum-         | Encum-     | Amounts  | Profit (Loss) | Profit (Loss) | (Loss) on    | Encum-      | Expenses    |
| Description of Property | City | State | Date     | Name of Purchaser | Actual Cost |            | Adjustment | brances        | brances    | Received | on Sale       | on Sale       | Sale         | brances     | Incurred    |
| 1 1 2                   |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       | <b></b>  |                   |             |            |            | -              |            |          |               |               |              |             | <b></b>     |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            | -              |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
|                         |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |
| 9999999 Totals          |      |       |          |                   |             |            |            |                |            |          |               |               |              |             |             |

# **SCHEDULE B - PART 1**

| Observiore all Mantenana I |                          | - 0               |
|----------------------------|--------------------------|-------------------|
| Snowing all Mortgage       | Loans ACQUIRED during th | e Current Quarter |

|                | •     |       | all Moltgage Loans Aceo |               | Curront quarter  |   |                     |                     |                   |                           |
|----------------|-------|-------|-------------------------|---------------|------------------|---|---------------------|---------------------|-------------------|---------------------------|
| 1              | Locat | tion  | 4                       | 5             | 6                | 7   | 8                   | 9                   | 10                | 11                        |
|                | 2     | 3     |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  | Book Value/Recorded   |                     | Increase (Decrease) |                   | Date of Last              |
|                |       |       |                         |               |                  | Investment Excluding  | Increase (Decrease) | by Foreign Exchange | Value of Land and | Appraisal or<br>Valuation |
| Loan Number    | City  | State | Loan Type               | Date Acquired | Rate of Interest | Book Value/Recorded<br>Investment Excluding<br>Accrued Interest | by Adjustment       | Adjustment          | Buildings         | Valuation                 |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
| <b> </b>       |       |       |                         |               |                  |   |                     |                     |                   | <b>†</b>                  |
| I              |       |       | <del></del>             |               |                  |   |                     |                     |                   | <b>†</b>                  |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
|                |       |       |                         |               |                  |   |                     |                     |                   |                           |
| 9999999 Totals |       |       |                         |               |                  |   |                     |                     |                   | XXX                       |

# **SCHEDULE B - PART 2**

Showing all Mortgage Loans SOLD, transferred or paid in full during the Current Quarter

| 1              | Loca | ation   | 4    | 5        | 6                 | 7             | 8                                 | 9                 | 10            | 11                                | 12            | 13            |
|----------------|------|---------|------|----------|-------------------|---------------|-----------------------------------|-------------------|---------------|-----------------------------------|---------------|---------------|
|                | 2    | 3       |      |          | Book              |               |                                   | Book              |               |                                   |               |               |
|                |      |         |      |          | Value/Recorded    |               |                                   | Value/Recorded    |               |                                   |               |               |
|                |      |         |      |          | Investment        |               | Increase                          | Investment        |               |                                   |               |               |
|                |      |         |      |          | Excluding Accrued | Increase      | (Decrease) by<br>Foreign Exchange | Excluding Accrued |               | Foreign Exchange<br>Profit (Loss) | Realized      | Total         |
|                |      |         | Loan | Date     | Interest          | (Decrease) by | Foreign Exchange                  | Interest          | Consideration | Profit (Loss)                     | Profit (Loss) | Profit (Loss) |
| Loan Number    | City | State   | Type | Acquired | Prior Year        | Adjustment    | Adjustment                        | at Disposition    | Received      | on Sale                           | on Sale       | on Sale       |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      | <b></b> | l    |          |                   |               |                                   | <b>†</b>          |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         | ł    |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
|                |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |
| 9999999 Totals |      |         |      |          |                   |               |                                   |                   |               |                                   |               |               |

# **SCHEDULE BA - PART 1**

| Showing Other Lo | na-Term Invested A | ssets ACQUIRED during | n the Current Quarter |
|------------------|--------------------|-----------------------|-----------------------|

|                                 |          |       | ing other zong rorm invoctou /tocoto /touc |          |        |              |  |                                      |                     |
|---------------------------------|----------|-------|--|----------|--------|--------------|--|--------------------------------------|---------------------|
| 1                               | Location |       | 4  | 5        | 6      | 7            | 8  | 9                                    | 10                  |
|                                 | 2        | 3     |  |          |        |              |  |                                      |                     |
|                                 |          |       |  |          |        |              | Book/Adjusted  |                                      | Increase (Decrease) |
|                                 |          |       |  | Date     | Actual | Amount of    | Book/Adjusted<br>Carrying Value<br>Less Encumbrances | Increase (Decrease)<br>by Adjustment | by Foreign Exchange |
| Number of Units and Description | City     | State | Name of Vendor                             | Acquired | Cost   | Encumbrances | Less Encumbrances                                    | by Adjustment                        | Adjustment          |
|                                 |          |       |  |          |        |              |  |                                      |                     |
|                                 |          |       |  |          |        |              |  |                                      |                     |
|                                 |          |       |  |          |        |              |  |                                      |                     |
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|                                 |          |       |  |          |        |              |  |                                      |                     |
|                                 |          |       |  |          |        |              |  |                                      |                     |
|                                 |          |       |  |          |        |              |  |                                      |                     |
|                                 |          |       |  |          |        |              |  |                                      |                     |
|                                 |          |       |  |          |        |              |  |                                      |                     |
| 9999999 Totals                  | •        |       | _  |          |        |              |  |                                      |                     |

#### **SCHEDULE BA - PART 2**

Showing Other Long-Term Invested Assets SOLD, transferred or paid in full during the Current Quarter

| 1                               | Loca | ation | 4                     | 5             | 6          | 7             | 8             | 9                             | 10            | 11            | 12            | 13            |
|---------------------------------|------|-------|-----------------------|---------------|------------|---------------|---------------|-------------------------------|---------------|---------------|---------------|---------------|
|                                 | 2    | 3     |                       |               | Book/      |               |               | Book/<br>Adjusted<br>Carrying |               |               |               |               |
|                                 |      |       |                       |               | Adjusted   |               |               | Adjusted                      |               |               |               |               |
|                                 |      |       |                       |               | Carrying   |               | Increase      | Carrying                      |               |               |               |               |
|                                 |      |       |                       |               | Value Less |               | (Decrease) by | Value Less                    |               | Foreign       |               |               |
|                                 |      |       |                       |               | Encum-     | Increase      | Foreign       | Encum-                        |               | Exchange      | Realized      | Total         |
|                                 |      |       | Name of Purchaser or  |               | brances,   | (Decrease)    | Exchange      | brances at                    | Consideration | Profit (Loss) | Profit (Loss) | Profit (Loss) |
| Number of Units and Description | City | State | Nature of Disposition | Date Acquired | Prior Year | by Adjustment | Adjustment    | Disposition                   | Received      | on Sale       | on Sale       | on Sale       |
|                                 |      |       |                       |               |            |               |               |                               |               |               |               |               |
|                                 |      |       |                       |               |            |               |               |                               |               |               | <del> </del>  |               |
|                                 |      |       |                       |               |            |               |               |                               |               |               |               |               |
|                                 |      |       |                       |               |            |               |               |                               |               |               |               |               |
|                                 |      |       |                       |               |            |               |               |                               |               |               |               |               |
|                                 |      |       |                       |               |            |               |               |                               |               |               |               |               |
|                                 |      |       |                       |               |            |               |               |                               |               |               |               |               |
|                                 |      |       |                       |               |            |               |               |                               |               |               |               |               |
| 9999999 Totals                  |      |       |                       |               |            |               |               |                               |               |               |               |               |

# **SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

|                  | ·                             |  | 3-Term Bonds and Stock Acquired by the Company During the | Current Quarter |        | •            |                        |                 |
|------------------|-------------------------------|--|---|-----------------|--------|--------------|------------------------|-----------------|
| 1                | 2                             | 3  | 4   | 5               | 6      | 7            | 8                      | 9               |
| CUSIP            |                               |  |   | Number of       | Actual |              | Paid for Accrued       | NAIC            |
| Identification   | Description                   | Date Acquired                            | Name of Vendor  | Shares of Stock | Cost   | Par Value    | Interest and Dividends | Designation (a) |
| 6099999 - Total  | - Ronds                       | Date / loquilou                          | Traine of Vender  | Charge of Clock |        |              | interest and Bividends | XXX             |
| 6500000 Total    | - Preferred Stocks            |  |   |                 |        | XXX          |                        | XXX             |
| 0099999 - 10tal  | - Preferred Stocks            |  |   |                 |        |              |                        |                 |
| 7099999 - Total  | - Common Stocks               |  |   |                 |        | XXX          |                        | XXX             |
| /199999 - Total  | - Preferred and Common Stocks |  |   |                 |        | XXX          |                        | XXX             |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        | 1            |                        | 1               |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        | -               |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        | <del></del>  |                        |                 |
|                  |                               |  |   |                 |        | <del> </del> |                        | · <b>-</b>      |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        |              |                        |                 |
|                  |                               |  |   |                 |        | <b></b>      |                        | <b></b>         |
|                  |                               |  |   |                 |        |              |                        | .1              |
|                  |                               |  |   |                 |        |              |                        |                 |
| 7299999 - Totals | •                             | L. L |   |                 |        | XXX          |                        | XXX             |
| 00000 Totals     |                               |  |   |                 |        | ,,,,,,       |                        | 7000            |

<sup>(</sup>a) For all common stock bearing the NAIC designation "U" provide: the number of such issues

# **SCHEDULE D - PART 4**

|              |                                    |                  | Snow All Lo       | ing-Term Bonds a | na Stock Sola, Re | deemed or Otherw | ise Disposed of b | y the Company Du                   | ring the Curre   | ent Quarter |             |               |            |             |              |         |
|--------------|------------------------------------|------------------|-------------------|------------------|-------------------|------------------|-------------------|------------------------------------|------------------|-------------|-------------|---------------|------------|-------------|--------------|---------|
| 1            | 2                                  | 3                | 4                 | 5                | 6                 | 7                | 8                 | 9                                  | 10               | 11          | 12          | 13            | 14         | 15          | 16           | 17      |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  | Increase    |             |               |            | Interest on | Dividends on |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    | Increase         | (Decrease)  | Foreign     |               |            | Bonds       | Stocks       | NAIC    |
| CUSIP        |                                    |                  |                   | Number of        |                   |                  |                   | Book/Adjusted                      | (Decrease)       | by Foreign  | Exchange    | Realized Gain | Total Gain | Received    | Received     | Desig-  |
| Identi-      |                                    | Dienocal         |                   | Shares of        |                   |                  |                   | Carrying Value at                  | hv               | Exchange    | Gain (Loss) | (Loss) on     | (Loss) on  | During      | During       | nation  |
| fication     | Description                        | Disposal<br>Date | Name of Purchaser | Stock            | Consideration     | Par Value        | Actual Cost       | Carrying Value at<br>Disposal Date | by<br>Adjustment | Adjustment  | on Disposal | Disposal      | Disposal   | Year        | Year         | (a)     |
|              |                                    | Date             | Name of Fulchaser | SIUCK            | Consideration     | rai value        | Actual Cost       | Disposai Date                      | Aujustment       | Aujustment  | on Disposai | Dispusai      | Disposai   | real        | XXX          | (a)     |
| 6099999 - To | otal - Bonds                       |                  |                   |                  |                   | WWW              |                   |                                    |                  |             |             |               |            | WWW         | λλλ          | XXX     |
| 6599999 - Id | otal - Preferred Stocks            |                  |                   |                  |                   | XXX              |                   |                                    |                  |             |             |               |            | XXX         |              | XXX     |
| 7099999 - 10 | otal - Common Stocks               |                  |                   |                  |                   | XXX              |                   |                                    |                  |             |             |               |            | XXX         |              | XXX     |
| /199999 - To | otal - Preferred and Common Stocks |                  |                   |                  |                   | XXX              |                   |                                    |                  |             |             |               |            | XXX         |              | XXX     |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              | ·       |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             | <b></b>     |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             | <b></b>     |               |            |             |              | -       |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              | ·       |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              | ·       |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             | <b>.</b>    |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    | ļ                |             | ļ           | ļ             |            |             |              |         |
|              |                                    |                  |                   |                  |                   |                  |                   |                                    |                  |             |             |               |            |             |              | <u></u> |
| 7299999 To   | otals                              |                  |                   |                  |                   | XXX              |                   |                                    | I                |             |             |               |            |             |              | XXX     |

<sup>(</sup>a) For all common stock bearing the NAIC designation "U" provide: the number of such issues

# **SCHEDULE DB - PART A - SECTION 1**

|  |                 |            | Snowing all C                  | options, Cap | s, Floors and Insurance Future | s Options Owned        | at Current Statem | ent Date                              |                 |            |               |                 |                                    |
|--|-----------------|------------|--------------------------------|--------------|--------------------------------|------------------------|-------------------|---------------------------------------|-----------------|------------|---------------|-----------------|------------------------------------|
| 1  | 2               | 3          | 4                              | 5            | 6                              | 7                      | 8                 | 9                                     | 10              | 11         | 12            | 13              | 14                                 |
|  |                 |            |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
|  |                 | Date of    |                                |              |                                |                        |                   |                                       |                 |            | Year to Date  |                 |                                    |
|  | Number of       |            |                                |              |                                |                        |                   |                                       |                 |            | Increase/     | Llood to Adiust | Oth or Investment                  |
|  | Number of       | Maturity,  | 0. 1. D. D.                    | D            |                                | 0 1/0 1                |                   |                                       |                 |            | (D)           | Osed to Adjust  | Other Investment/<br>Miscellaneous |
| <b>5</b>   | Contracts or    | Expiry, or | Strike Price, Rate<br>or Index | Date of      |                                | Cost/Option<br>Premium | 5                 |                                       |                 |            | (Decrease) by | Basis of Heaged | Miscellaneous                      |
| Description  | Notional Amount | Settlement | or Index                       | Acquisition  | Exchange or Counterparty       | Premium                | Book Value        | *                                     | Statement Value | Fair Value | Adjustment    | Item            | Income                             |
|  |                 |            |                                |              |                                |                        |                   | ·                                     |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   | ·                                     | •               |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   | · · · · · · · · · · · · · · · · · · · |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       | •               |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   | ·                                     | •               |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       | •               |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   | ·                                     |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
|  |                 | I          |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
|  |                 |            |                                |              |                                |                        |                   |                                       |                 |            |               |                 |                                    |
| 2599999 - Subtotal - Hedging Transactions          |                 |            |                                |              |                                |                        |                   | XXX                                   |                 |            |               |                 |                                    |
| 2799999 - Subtotal - Other Derivative Transactions |                 |            |                                |              |                                |                        |                   | XXX                                   |                 |            |               |                 |                                    |
| 9999999 - Totals                                   |                 |            |                                |              |                                |                        |                   | XXX                                   |                 |            |               |                 | 1                                  |

# **SCHEDULE DB - PART B - SECTION 1**

|   |                 | Sh         | <u>nowing all Options</u> | , Caps, Floor | rs and Insurance Futures Optio | ns Written and In- | Force at Current S | Statemen                              | t Date          |            |               |                |                   |
|---|-----------------|------------|---------------------------|---------------|--------------------------------|--------------------|--------------------|---------------------------------------|-----------------|------------|---------------|----------------|-------------------|
| 1   | 2               | 3          | 4                         | 5             | 6                              | 7                  | 8                  | 9                                     | 10              | 11         | 12            | 13             | 14                |
|   |                 |            |                           |               |                                |                    |                    |                                       |                 |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       |                 |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       |                 |            |               |                |                   |
|   |                 | Date of    |                           |               |                                |                    |                    |                                       |                 |            | Year to Date  |                |                   |
|   | Number of       |            |                           | Date of       |                                |                    |                    |                                       |                 |            | Increase/     |                | Other Investment/ |
|   |                 | Maturity,  | Chriles Dries Date        |               |                                | Canaidaration      |                    |                                       |                 |            |               |                | Miscellaneous     |
| 5   | Contracts or    | Expiry, or | Strike Price, Rate        | issuance/     |                                | Consideration      | 5                  |                                       |                 |            | (Decrease) by | Used to Adjust |                   |
| Description   | Notional Amount | Settlement | or Index                  | Purchase      | Exchange or Counterparty       | Received           | Book Value         |                                       | Statement Value | Fair Value | Adjustment    | Basis          | Income            |
|   |                 |            |                           |               |                                |                    |                    |                                       | •               |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    | · · · · · · · · · · · · · · · · · · · | •               |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    | ·                                     |                 |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       |                 |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       |                 |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       | •               |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       | •               |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       |                 |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       |                 |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       |                 |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       |                 |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       |                 |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       |                 |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       |                 |            |               |                |                   |
|   |                 |            |                           |               |                                |                    |                    |                                       |                 |            |               |                |                   |
| 2599999 - Subtotal - Hedging Transactions           |                 | •          | •                         | •             | •                              |                    |                    | XXX                                   |                 |            |               |                |                   |
| 2699999 - Subtotal - Income Generation Transactions |                 |            |                           |               |                                |                    |                    | XXX                                   |                 |            |               |                |                   |
| 2799999 - Subtotal - Other Derivative Transactions  |                 |            |                           |               |                                |                    |                    | XXX                                   |                 |            |               |                |                   |
| 9999999 - Totals                                    |                 |            |                           |               |                                |                    |                    | XXX                                   |                 |            |               |                |                   |

# **SCHEDULE DB - PART C - SECTION 1**

|  |  |            |                    | Onowing a   | ii Collai, Swap ai | a Forwards Open | at Current Staten | ileni Dale |                 |            |              |                 |             |           |
|--|--|------------|--------------------|-------------|--------------------|-----------------|-------------------|------------|-----------------|------------|--------------|-----------------|-------------|-----------|
| 1  | 2  | 3          | 4                  | 5           | 6                  | 7               | 8                 | 9          | 10              | 11         | 12           | 13              | 14          | 15        |
|  | _  |            |                    |             | •                  | -               |                   | _          |                 |            | ·-           | 1               |             |           |
|  |  |            |                    |             |                    |                 |                   |            |                 |            |              |                 |             |           |
|  |  |            |                    |             |                    |                 |                   |            |                 |            |              |                 |             |           |
|  |  | Date of    |                    | Date of     |                    |                 |                   |            |                 |            | Year to Date |                 | Other       |           |
|  |  |            | Strike Price, Rate |             |                    | Cost or         |                   |            |                 |            | Increase/    | Used to Adjust  | Investment/ |           |
|  |  | Evering or |                    | Desition or | Cychonae or        |                 |                   |            |                 |            |              | Danie of Hadrad |             | Detential |
|  |  | Expiry, or | or Index Rec       | Position or | Exchange or        | (Consideration  |                   |            |                 |            |              | Basis of Hedged |             | Potential |
| Description  | Notional Amount                                | Settlement | (Pay)              | Agreement   | Counterparty       | Received)       | Book Value        | *          | Statement Value | Fair Value | Adjustment   | Item            | Income      | Exposure  |
|  |  |            |                    |             |                    | ,               |                   |            |                 |            |              |                 |             | •         |
|  |  |            |                    |             |                    |                 |                   |            |                 |            |              |                 |             |           |
|  |  |            |                    |             |                    |                 |                   |            |                 |            |              |                 |             |           |
|  |  |            |                    |             |                    |                 |                   | ······     |                 |            |              |                 |             |           |
|  |  |            |                    |             |                    |                 |                   | <b>+</b>   |                 |            |              | <b>†</b>        |             |           |
|  |  |            |                    |             |                    |                 |                   | <b></b>    |                 |            |              |                 |             |           |
|  |  |            |                    |             |                    |                 |                   | L          |                 |            |              |                 |             |           |
|  |  |            |                    |             |                    |                 |                   |            |                 |            |              |                 |             |           |
|  |  |            |                    |             |                    |                 |                   |            |                 |            |              |                 |             |           |
|  |  |            |                    |             |                    |                 |                   |            | <b>-</b>        |            |              | T               |             |           |
|  | · · · · · · · · · · · · · · · · · · ·          |            |                    |             |                    |                 | <del> </del>      | *          | <b>†</b>        |            |              | <b>†</b>        |             |           |
|  |  |            |                    |             |                    |                 |                   | +          |                 |            |              | <del> </del>    |             |           |
|  |  |            |                    |             |                    |                 |                   | ·          |                 |            |              |                 |             |           |
|  |  |            |                    |             |                    |                 |                   | ļ          |                 |            |              |                 |             |           |
|  |  |            |                    |             |                    |                 |                   | <b></b>    |                 |            |              |                 |             |           |
|  |  | l          |                    |             |                    |                 |                   | 1          |                 |            | <u> </u>     | 1               |             |           |
| 2599999 - Subtotal - Hedging Transactions          |  |            |                    |             |                    |                 |                   | XXX        |                 |            |              |                 |             |           |
| 2799999 - Subtotal - Other Derivative Transactions | 199 - Subtotal - Other Derivative Transactions |            |                    |             |                    |                 |                   | XXX        |                 |            |              |                 |             |           |
| 9999999 - Totals                                   |  | •          |                    |             | •                  |                 |                   | XXX        |                 |            |              |                 |             |           |

# **SCHEDULE DB - PART D - SECTION 1**

|  |           | Showing all F | utures Contracts | and Insurance Fu | tures Contracts O | pen at Current Sta | atement Date |              |            |                      |          |           |
|--|-----------|---------------|------------------|------------------|-------------------|--------------------|--------------|--------------|------------|----------------------|----------|-----------|
| 1  | 2         | 3             | 4                | 5                | 6                 | 7                  | 8            | 9            | Varia      | ation Margin Informa | ation    | 13        |
|  |           |               |                  |                  |                   |                    |              |              | 10         | 11                   | 12       |           |
|  |           |               |                  |                  |                   |                    |              |              |            | Used to Adjust       |          |           |
|  | Number of |               |                  |                  |                   | Date of            | Exchange or  |              |            | Basis of             |          | Potential |
| Description  | Contracts | Maturity Date | Original Value   | Current Value    | Variation Margin  | Opening Position   | Counterparty | Cash Deposit | Recognized | Hedged Item          | Deferred | Exposure  |
|  |           |               |                  |                  |                   |                    |              |              |            |                      |          |           |
|  |           |               |                  |                  |                   |                    |              |              |            |                      |          |           |
|  |           |               |                  |                  |                   |                    |              |              |            |                      |          |           |
|  |           |               |                  |                  |                   |                    |              |              |            |                      |          |           |
|  |           |               |                  |                  |                   |                    |              |              |            |                      |          |           |
|  |           |               |                  |                  |                   |                    |              |              |            |                      |          |           |
|  |           |               |                  |                  |                   |                    |              |              |            |                      |          |           |
|  |           |               |                  |                  |                   |                    |              |              |            |                      |          |           |
|  |           |               |                  |                  |                   |                    |              |              |            |                      |          |           |
|  |           |               |                  |                  |                   |                    |              |              |            |                      |          |           |
|  |           |               |                  |                  |                   |                    |              |              |            |                      |          |           |
| 0500000 0 14 4 1 11 11 1 7                         |           |               |                  |                  |                   |                    | WW           |              |            |                      |          |           |
| 2599999 - Subtotal - Hedging Transactions          |           |               |                  |                  |                   | XXX                | XXX          |              |            |                      |          |           |
| 2799999 - Subtotal - Other Derivative Transactions |           |               |                  |                  |                   | XXX                | XXX          |              |            |                      |          |           |
| 9999999 - Totals                                   |           |               |                  |                  |                   | XXX                | XXX          |              |            |                      |          |           |

# SCHEDULE E - PART 1 - CASH Month End Depository Balances

|  |              | h End Deposito |              |             |                       |               |         |
|--|--------------|----------------|--------------|-------------|-----------------------|---------------|---------|
| 1  | 2            | 3              | 4            |             | k Balance at End of E |               | 8       |
|  |              |                |              |             | th During Current Qua |               |         |
|  |              | Amount of      | Amount of    | 5           | 6                     | 7             |         |
|  |              | Interest       | Interest     |             |                       |               |         |
|  |              | Received       | Accrued at   |             |                       |               |         |
|  | Rate         | During         | Current      |             |                       |               |         |
|  | of           | Current        | Statement    |             |                       |               |         |
| Depository   | Interest     | Quarter        | Date         | First Month | Second Month          | Third Month   | *       |
| 101 W. Washington St.                                  |              |                |              |             |                       |               |         |
| Wells FargoMarquette, Ml. 49855                        | 1.296        | 19,960         | 6,785        | 5,443,316   | 6 , 097 , 433         | 5 , 413 , 775 |         |
| 0199998 Deposits in                                    |              |                |              |             |                       |               |         |
| not exceed the allowable limit in any one depository   | 1444         |                |              |             |                       |               | 1,,,,,  |
| (See Instructions) - Upen Depositories                 | XXX          |                |              |             |                       |               | XXX     |
| 0199999 Totals - Open Depositories                     | XXX          | 19,960         | 6,785        | 5,443,316   | 6,097,433             | 5,413,775     | XXX     |
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| 0200000 Total Cook on Donosit                          | V V V        | 19,960         | 6,785        | 5,443,316   | 6,097,433             | E /4º 77F     | <br>XXX |
|  |              |                |              |             |                       |               |         |
| 0399999 Total Cash on Deposit                          | XXX          | 19,900         | 0,700        | 3,443,310   | 0,037,433             | 5,413,775     |         |
| 039393 Otash in Company's Office<br>0599999 Total Cash | XXX          | XXX<br>19,960  | XXX<br>6,785 | 5,443,316   | 6,097,433             | 5,413,775     | XXX     |